

P21351.P01

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

P21351

Total Pages

Inventor(s) or Application Identifier:
Daisuke KOREEDA and Junji KAMIKUBOTitle:
A COMPLEX LENS FOR A TANDEM SCANNING OPTICAL SYSTEM AND A MANUFACTURING METHOD THEREOF

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

APPLICATION ELEMENTS**ACCOMPANYING APPLICATION PARTS**

<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>a. <input type="checkbox"/> Small Entity Statement(s)</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>23</u>] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"> - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets <u>7</u>]</p> <p>5. <input checked="" type="checkbox"/> Oath or Declaration [Total Pages <u>3</u>]</p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy) <input type="checkbox"/> Unexecuted</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 20 completed) [Note Box 6 below]</p> <p>i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation By Reference (useable if Box 5b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>8. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (<i>Appendix</i>)</p>	<p>9. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on:</p> <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper <p>c. <input type="checkbox"/> Statements verifying identity of above copies</p> <p>10. <input checked="" type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>11. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>12. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>13. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>14. <input type="checkbox"/> Preliminary Amendment</p> <p>15. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>16. <input checked="" type="checkbox"/> Figure of Drawing to be published: <u>Figure 3</u></p> <p>17. <input checked="" type="checkbox"/> Foreign priority claimed</p> <p>a. <input checked="" type="checkbox"/> Claim of Priority</p> <p>b. <input checked="" type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input checked="" type="checkbox"/> Assignee: <u>ASAHI KOGAKU KOGYO KABUSHIKI KAISHA,</u> <u>of Tokyo, Japan</u></p> <p>19. <input type="checkbox"/> Other: _____ _____ _____ _____</p>
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20. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
 Continuation Divisional Continuation-in-part (CIP) of prior Application No. _____ / _____, filed _____.
Prior application information: Examiner: _____ Group Art Unit: _____

21. Amend the specification by inserting before the first line the sentence;
 This application is a _____ continuation-in-part, _____ continuation, _____ divisional, of Application No. _____ / _____, filed _____.

Address all future correspondence to Customer No. 7055 at the present address of:

GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
(703) 716-1191

11/16/01

Date

Bruce H. Bernstein Reg. No. 29,027
Signature *33,329*

Bruce H. Bernstein, Reg. No. 29,027
Typed or Printed Name

07055

P21351.P02

FEE TRANSMITTAL

Complete if Known

Application Number	Not Yet Assigned
Filing Date	Concurrently Herewith
First Named Inventor	P21351
Group Art Unit	Daisuke KOREEDA et al.
Examiner Name	Unassigned

TOTAL AMOUNT OF PAYMENT (\$780.00)

Attorney Docket Number P21351

METHOD OF PAYMENT (check one)

FEE CALCULATION (continued)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 19-0089Deposit Account Name GREENBLUM & BERNSTEIN, P.L.C.

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17, including any required extension of time fees in any concurrent or future reply requiring a petition for extension of time for its timely submission (37 CFR 1.136(a)(3)) Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b) Applicant Claims Small Entity Status See 37 CFR 1.27.

2. Payment Enclosed:

 Check Credit Card Money Order Other

FEE CALCULATION (fees effective 12/29/99)

1. FILING FEE

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee <u>740.00</u>
106	330	206	165	Design filing fee <u>330.00</u>
107	510	207	255	Plant filing fee <u>510.00</u>
108	740	208	370	Reissue filing fee <u>740.00</u>
114	160	214	80	Provisional filing fee <u>160.00</u>

SUBTOTAL (1) (\$)780.00

2. CLAIMS

Total Claims	-20=	Extra	Fee from below	Fee Paid
6	-20=	0	x 18	= 0.00
Independent 2	-3=	0	x 84	= 0.00

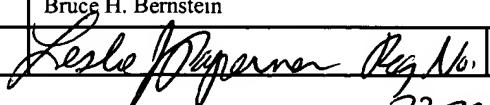
Claims Multiple Dependent Claims 0 x 280 = 0.00

Large Entity Fee Code (\$)	Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103	18	203	9
102	84	202	42
104	280	204	140
109	84	209	42
110	18	210	9

SUBTOTAL (2) (\$)0.00

3. ADDITIONAL FEES	Fee Description	Fee Paid			
Large Entity Fee Code (\$)	Entity Fee Code (\$)				
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR	
113	1,840*	113	1,840*	Prior to Examiner action	
115	110	215	55	Requesting publication of SIR after Examiner action	
116	400	216	200	Extension for response within 1st month	
117	920	217	460	Extension for response within 2nd month	
118	1,440	218	720	Extension for response within 3rd month	
128	1,960	228	980	Extension for response within 4th month	
119	320	219	160	Extension for response within 5th month	
120	320	220	160	Notice of Appeal	
121	280	221	140	Filing a brief in support of an appeal	
138	1,510	138	1,510	Request for oral hearing	
140	110	240	55	Petition to institute a public use proceeding	
141	1,280	241	640	Petition to revive unavoidably abandoned application	
142	1,280	242	640	Petition to revive unintentionally abandoned application	
143	460	243	230	Utility issue fee (or reissue)	
144	620	244	310	Design issue fee	
122	130	122	130	Plant issue fee	
123	50	123	50	Petitions to the Commissioner	
126	180	126	180	Petitions related to provisional applications	
581	40	581	40	Submission of IDS	
146	740	246	370	Recording each patent assignment per property (times number of properties)	
149	740	249	370	Filing a submission after final rejection (37 CFR 1.129(a))	
179	740	279	370	For each additional invention to be examined (37 CFR 1.129(b))	
169	900	169	900	Request for Continued Examination (RCE)	
				Request for expedited examination of a design application	
				Other fee (specify)	
				Other fee (specify)	
				SUBTOTAL (3) (\$) <u>40.00</u>	

*Reduced by Basic Filing Fee paid

SUBMITTED BY			Complete (if applicable)	
Typed or Printed Name	Bruce H. Bernstein		Reg. Number	29,027
Signature		Date 11/16/01	Deposit Account User ID	